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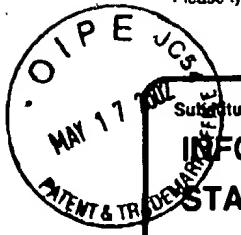
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

*(use as many sheets as necessary)*

Sheet 1 of 2

Substitute for form 1449A/PTO				<i>Complete If Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	09/845,088
				Filing Date	April 26, 2001
				First Named Inventor	J.J. Garcia-Luna-Aceves
				Group Art Unit	2151
				Examiner Name	Not yet assigned
				Attorney Docket Number	5543P003
Sheet	1	of	2		

## **U.S. PATENT DOCUMENTS**

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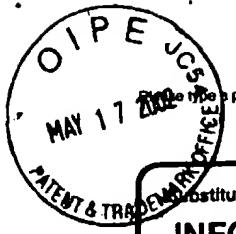
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\*Unique citation designation number. \*See attached Kinds of U.S. Patent Documents. \*Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). \*For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST\_16 if possible. \*Applicant is

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet

2

of

2

### Complete If Known

Application Number	09/845,088
Filing Date	April 26, 2001
First Named Inventor	J.J. Garcia-Luna-Aceves
Group Art Unit	2151
Examiner Name	Not yet assigned
Attorney Docket Number	5543P003

### OTHER ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.*	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T*
MAS		International Search Report-PCT/US01/13833, April 11, 2002.	
MAS		MACLARTY G ET AL: "Policy-based content delivery: an active network approach", COMPUTER COMMUNICATIONS, ELSEVIER SCIENCE PUBLISHERS BV, AMSTERDAM, NL, vol. 24, no. 2, 1 February 2000 (2000-02-01), pages 241-248, XP004228466.	
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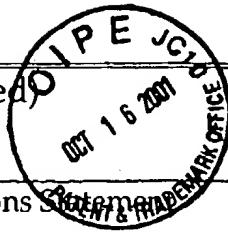
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Form PTO-1449 (Modified)



Atty Docket No.:

5543P003

Serial No.:

09/845,088

List of Patents and Publications

(Use several sheets if necessary)

Applicants:

J.J. Garcia-Luna-Aceves

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Filing Date:

April 26, 2001

OCT 19 2001

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REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS				
Exam. Initial		Date	Document Number	Name	Class	Sub-Class	Filing Date
MAS		12/14/99	6,003,030	Kenner et al.			
		1/30/01	6,182,224	Phillips et al.			
		8/22/00	6,108,703	Leighton et al.			
MAS		4/18/00	6,052,718	Gifford			

## FOREIGN PATENT DOCUMENTS

No.		Document No.	Date	Country	Name	Class	Sub-Class	Trans-lation

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

MAS	C	A. Chankunthod, P. Danzig, C. Neerdaels, M. Schwartz, and K. Worrell, "A Hierarchical Internet Object Cache," Proc. USENIX Technical Conference 96, San Diego, California, January 1996
	C	A. Duda and M.A. Sheldon, "Content Routing in Networks of WAIS Servers," Proc. IEEE 14th International Conference on Distributed Computing Systems, June 1994
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	C	A. Heddaya and S. Mirad, "WebWave: Globally Load Balanced Fully Distributed Caching of Hot Published Documents," Technical Report BU-CS-96-024, Boston University, Computer Science Department, October 1996
	C	P. Rodriguez, E.W. Biersack, and K.W. Ross, "Improving The Latency in The Web: Caching or Multicast?," Proc. Third WWW caching workshop, Manchester, UK, June 1998
	C	D. Karger, E. Lehman, T. Leighton, M. Levine, D. Lewin, and R. Panigraphy, "Consistent Hashing and Random Trees: Distributed Caching Protocols for Relieving Hot Spots on the World Wide Web," Proc. 29th ACM Symposium on Theory of Computing (STOC 97), El Paso, Texas, 1997
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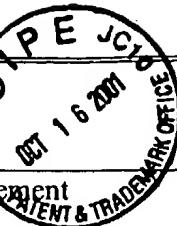
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Form PTO-1449 (Modified)		Atty Docket No.:	Serial No.:
		5543P003	09/845,088
List of Patents and Publications Statement (Use several sheets if necessary)		Applicants:	RECEIVED
		J.J. Garcia-Luna-Aceves	OCT 19 2001
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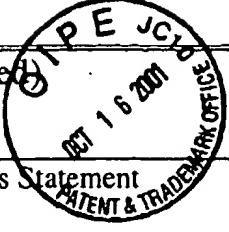
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	✓ G. Chiu, C.S. Rahgavendra, and S.M. Ng, " Resource Allocation with Load Balancing Consideration in Distributed Computing Systems," Proc. IEEE INFOCOM 89, Ottawa, Ontario, Canada, April 1989, pp. 758-765
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<b>FOREIGN PATENT DOCUMENTS</b>								
No.		Document No.	Date	Country	Name	Class	Sub-Class	Trans-lation
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<i>MAS</i>	P. Rodriguez and E.W. Briesack, "Continuous Multicast Push of Web Documents over The Internet," IEEE Network Magazine, Vol. 12, No. 2, pp. 18-31, 1998							
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	R. Tewari, "Architectures and Algorithms for Scalable Wide-area Information Systems," Ph.D. Dissertation, Chapter5, Computer Science Department, University of Texas at Austin, August 1998 <a href="http://www.nlar.net/Cache/ICP/draft-vinod-icp-traffic-dist-00.txt">http://www.nlar.net/Cache/ICP/draft-vinod-icp-traffic-dist-00.txt</a> , September 10, 2001 <a href="http://wwwcache.ja.net/events/workshop/papers.html">http://wwwcache.ja.net/events/workshop/papers.html</a> , October 3, 2001 <a href="http://www.cs.utexas.edu/users/UTCS/techreports/">http://www.cs.utexas.edu/users/UTCS/techreports/</a> , September 10, 2001							
<i>MAS</i>								

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